

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property
Organization
International Bureau



(43) International Publication Date
29 January 2004 (29.01.2004)

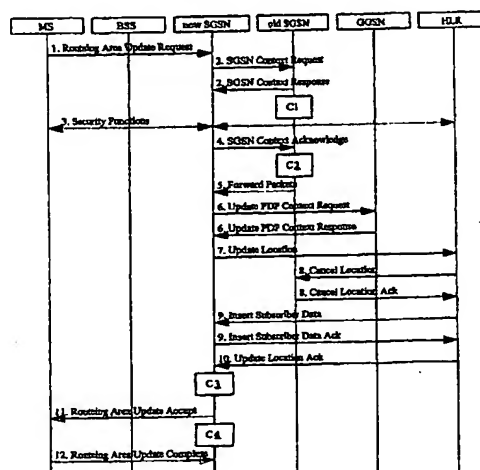
PCT

(10) International Publication Number
WO 2004/010649 A1

- (51) International Patent Classification⁷: **H04L 12/26**,
H04Q 7/38, H04L 12/56
- (21) International Application Number:
PCT/IB2002/002841
- (22) International Filing Date: 19 July 2002 (19.07.2002)
- (25) Filing Language: English
- (26) Publication Language: English
- (71) Applicant (for all designated States except US): **NOKIA CORPORATION** [FI/FI]; Keilalahdentie 4, FIN-02150 Espoo (FI).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): **MÄKINEN, Teemu** [FI/FI]; Tanhumäentie 23 B 1, FIN-04420 Jarvenpää (FI). **SANDAS, Ulf** [FI/FI]; Ruutikatu 8 A 24, FIN-02600 Espoo (FI). **GULBANI, Giorgi** [GE/FI]; Kyyhkysmäki 13 B 21, FIN-02600 Espoo (FI).
- (74) Agents: **BÖCKELEN, Rainer et al.**; TBK-Patent, Bavariaring 4-6, 80336 München (DE).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZM, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).
- Published:
— with international search report

[Continued on next page]

(54) Title: INFORMING A LAWFUL INTERCEPTION SYSTEM OF THE SERVING SYSTEM SERVING AN INTERCEPTED TARGET



(57) Abstract: The present invention proposes a method for informing a lawful interception system of the serving system serving an intercepted target (MS) roaming within a communication network system, the communication network system comprising at least one serving system each serving system comprising at least one serving system node (SGSN) serving the intercepted target for communication, the method comprising the steps of: first detecting a serving system node change request (1.) from the intercepted target (MS) towards a new serving system node which is currently not serving the target, first processing said serving system node change request at said new serving system node currently not serving the target, wherein said processing comprises the inclusion, to the request, of a serving system address of the new serving system node currently not serving the target, and first forwarding said processed request (2.) to an old serving system node currently serving the target. Also, the present invention proposes a serving system node adapted to be used in such a method.

WO 2004/010649 A1



For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

- 1 -

Title of the Invention

Informing a lawful interception system of the serving
system serving an intercepted target

5

Field of the Invention

The present invention relates to a method for informing a
lawful interception system of the serving system serving an
10 intercepted target, as well as to a correspondingly adapted
serving system node of a serving system.

Background of the invention

15 The provision of a lawful interception is a requirement of
national law, which is usually mandatory. From time to
time, a network operator and/or a service provider will be
required, according to a lawful authorization, to make
results of interception relating to specific identities
20 (i.e. users and their terminals) available to a specific
intercepting authority or Law Enforcement Agency (LEA).

There are various aspects of interception. The respective
national law describes under what conditions and with what
25 restrictions interception is allowed. If a LEA wishes to
use lawful interception as a tool, it will ask a
prosecuting judge or other responsible body for a lawful
authorization, such as a warrant. If the lawful
authorization is granted, the LEA will present the lawful
30 authorization to an access provider which provides access
from a user's terminal to that network, to the network
operator, or to the service provider via an administrative
interface or procedure. When a lawful interception is
authorized, Intercept Related Information (IRI) and/or the

- 2 -

content of the corresponding communication (CC) are delivered to the LEA.

The lawful authorization may describe the IRI and the content of the communication (CC) that are allowed to be delivered for this LEA. Typically, the interception period and interception target (e.g., a person's name or MSISDN number(s) related to SIM card(s) or IMEI code of a mobile terminal. For different LEAs and for different investigations, different constraints can apply that further limit the general borders set by the law. The interception target (i.e. the user's SIM card and/or terminal to be intercepted) may also be described in different ways in a lawful authorization, e.g. subscriber address, physical address, location, services etc.

Such a lawful interception functionality is also needed in the packet switched part of new mobile data networks such as the GSM and the UMTS (also known as 2G GPRS and/or 3G GPRS).

Lawful interception is based on an EU Council resolution, which concerns all telecommunications systems, not only mobile ones. Lawful interception has been further subdivided to the lawful interception proper, and to the handover part of the intercepted data to the authorized law enforcement agency's monitoring facility (LEMF). The 3GPP and the European Telecommunications Standards Institute (ETSI) have defined further technical requirements. These requirements define three interfaces for each part of packet data interception and handover:

X1 (=HI1): administrative tasks (HI1 may be on paper or fax or online or otherwise)
X2 (=HI2): IRI delivery (near real time)

- 3 -

X3 (=HI3): intercepted user data (near real time)

The interface X1 carries interception requests. HI1 carries authorization documents, encryption keys and the like. The interface X2 and HI2 carry IRI (Interception Related Information) like phone numbers, service information, time stamps etc. The interface X3 carries the content of communication (CC), i.e., the intercepted packets containing data sent and/or received etc. The exact definitions of the three interfaces are left to local legislation and authorities. The interfaces X1 to X3 are referred in the 3GPP TS 33.107. The three HI interfaces are defined in 3GPP TS 33.108 and in ETSI ES 201 671 V2.1.1 as HI1/HI2/HI3 interfaces.

With respect to Fig. 1, the lawful interception is described in more detail. Fig. 1 shows a reference configuration for the lawful interception for GPRS (General Packet Radio Systems). Reference numeral 1 denotes a Law Enforcement Monitoring Facility (LEMF) mentioned above. The symbols X1, X2, X3, HI1 HI2 and HI3 denote the above-mentioned interfaces between the LEMF and respective network elements which are described in the following. Numeral 2_1 denotes an Administrative Function ADMF for LI (Lawful Interception) in the network. Numeral 2_2 indicates an IRI delivery function (also known as DF2/MF2 e.g. for packet data like GPRS), whereas numeral 2_3 indicates a CC delivery function (also known as DF3/MF3 e.g. for packet data). The ADMF 2_1, the IRI delivery function 2_2 and the CC delivery function 2_3 are connected to a GSN (GPRS Support Node) 3 via interfaces X1_1, X2 and X3, respectively. In addition, the IRI and CC delivery functions are connected with the ADMF 2_1 via interfaces X1_2 and X1_3, respectively. The GSN 3 can be an SGSN or a GGSN or other node intercepting user activity or frames containing user level packet data.

- 4 -

In this manner, the ADMF 2_1 is used together with the delivery functions to hide from the GSN that there might be multiple activations by different Law Enforcement Agencies (LEAs) on the same target. Additionally, the packet network complexity is hidden from the LEA(s).

10 In case of a packet switched services, the IRI and CC data are transmitted in packets to the LEMF 1. The packet flow starts from the packet intercepting node (i.e. GSN 3 in Fig. 1) to the delivery function nodes (i.e., IRI and CC delivery functions 2_2 and 2_3 in Fig. 1) to the LEMF 1.

15 The LEMF system has a mass memory for packets, but it may also monitor packets as near real time streams. In GPRS, for example, the IRI data is defined to have some network attachment and/or PDP (Packet Data Protocol) context related data incorporated that relates the IRI to certain

20 subscriber activity. The packets thus relate to a certain PDP context as an example of a network attachment and/or an active communication context (while only the context need to be active, not necessarily the communication need to be active in the sense of „ongoing“).

25

Thus, lawful interception is a topic, which mainly concerns core networks of communication networks, in particular, of (2G and/or 3G) packet switched communication networks.

30 According to recent tendencies in communication network evolution, communication networks are adopted to interact with each other in a compatible manner. This means that communication networks operated by different operators interact with each other as well as communication networks

- 5 -

in different countries (having a respective different jurisdiction) interact with other. For the purpose of the present invention, a communication network operated by a specific operator is also referred to as a serving system
5 serving an intercepted target MS roaming within the communication network system. The communication network system comprises at least one serving system, and each serving system in turn comprises at least one serving system node serving the intercepted target for
10 communication. In case of GPRS (General Packet Radio Service) as an example of packet switched communication network and/or serving system, serving system nodes can be exemplified as SGSN (Serving GPRS Support Node) or GGSN (Gateway GPRS Support Node). Interception of a target can
15 take place already at an SGSN or at the GGSN. Also, according to agreed serving system architecture, the SGSNs of a serving system are connected to the GGSN thereof.

Thus, a user traveling with his terminal such as a mobile
20 station MS and/or a user equipment UE within such a communication network system, and being a target for lawful interception, is roaming within different networks and may even move out of the given warrant's (court order) jurisdiction.

25 Such circumstances have led to a requirement in lawful interception standardization that a home lawful interception LI system should know where the target (intercepted mobile) is roaming. Currently, if Operator A's
30 subscriber (as a target for lawful interception) is moving from operator A's serving system node such as an SGSN („old SGSN“) to operator B's serving system node such as an SGSN („new SGSN“), operator A's GPRS network does not have sufficient information about the network/serving system the
35 target terminal is moving to. Currently, only the SGSN's

- 6 -

Internet Protocol (IP) address is transferred from the new SGSN to the old SGSN in an SGSN Context Request, but the IP address does not identify the country and the operator network and/or serving system where the new SGSN is located. This information on where the target has moved to resides in the HLR but currently LI system is always connected to the SGSN and/or to the GGSN, never to HLR.

In order to solve these difficulties, solutions for future networks (Rel5 UMTS) have been agreed upon in communication networks standardization by 3GPP (3rd Generation partnership Project). These solutions are for example described in 3GPP TS 33.108 V5.0.0 (2002-06) and 3GPP TS 33.107 V5.3.0 (2002-06).

With these solutions, a requirement for the HLR (Home Location Register, as used in 2G) and/or HSS (Home Subscriber Server, as used in 3G) was introduced in that the HLR has to report to the DF/MF (Delivery Function / Mediation Function) the whereabouts of the new serving system serving node (SGSN) once an interception target is trying to attach thereto, or when the target is trying to change the serving operator's serving node to the new operator's serving node (for example in a inter SGSN inter PLNM RAU -Routing Area Update). This is relevant once the target moves to an area and/or a serving system, which is out of the given LEA's or warrant's jurisdiction. However, this reporting by the HLR requires from the HLR certain actions even if the target stays under the LEA's or warrant's jurisdiction. Therefore, this current solution imposes a rather heavy overload on a HLR in terms of processing required as well as communication traffic over interfaces within the core network. While, without such additional efforts, the lawful interception system does not

- 7 -

know where the target is if the subscriber moves/changes to another PLMN (Public Land Mobile Network).

Summary of the invention

5

Consequently, it is an object of the present invention to provide an improved method for informing a lawful interception system of the serving system serving an intercepted target, as well as to a correspondingly adapted serving system node of a serving system.

10

According to the present invention, the above object is for example achieved by a method for informing a lawful interception system of the serving system serving an intercepted target roaming within a communication network system, the communication network system comprising at least one serving system each serving system comprising at least one serving system node serving the intercepted target for communication, the method comprising the steps of: first detecting a serving system node change request from the intercepted target towards a new serving system node which is currently not serving the target, first processing said serving system node change request at said new serving system node currently not serving the target, wherein said processing comprises the inclusion, to the request, of a serving system address of the new serving system node currently not serving the target, and first forwarding said processed request to an old serving system node currently serving the target.

20
25
30

According to favorable further developments
- said old serving system node currently serving the target informs the interception system of the serving system address of the new serving system node,

- 8 -

- the method comprises the further steps of second detecting at least one active communication context for said target, and in response thereto, generating a communication context update request to which is included the serving system address of the new serving system node currently not serving the target, and second forwarding said generated request to a gateway serving system node of the serving system currently serving the intercepted target,
- 10 - said gateway serving system node informs the interception system of the serving system address of the new serving system node,
 - said serving system address of the new serving system node represents information about the serving system to which said new serving node belongs,
 - 15 - said information about the serving system to which said new serving node belongs comprises at least one of the following information items: serving node MSISDN number, serving node routing area identifier, serving node address,
 - 20 - said serving node routing area identifier contains information items representative of a mobile country code MCC, mobile network code MNC, location area code LAC, and routing area code RAC.
- 25 Also, according to the present invention, the above object is for example achieved by a serving system node of a serving system, the serving system node being adapted to serve an intercepted target for communication, and being connectable to a lawful interception system, the serving system node comprising: first detection means adapted for first detecting a serving system node change request from the intercepted target, first processing means adapted for first processing said serving system node change request, wherein said processing is adapted to include, to the request, a serving system address of the serving system
- 35

- 9 -

node, and first forwarding means adapted for first forwarding said processed request to another serving system node currently serving the target.

5 According to favorable further developments

- the serving system node comprises informing means adapted to inform the interception system of the serving system address of a new serving system node, said informing means being active in case said serving system node is

10 currently serving the target,

- the serving system node comprises second detection means adapted for second detecting at least one active communication context for said target, and generation means, controlled by said second detection means, and

15 adapted for generating a communication context update request to which is included the serving system address of the serving system node, and second forwarding means adapted for second forwarding said generated request to a gateway serving system node of the serving system currently

20 serving the intercepted target,

- said serving system address of the serving system node represents information about the serving system to which said new serving node belongs,

- said information about the serving system to which

25 said serving node belongs comprises at least one of the following information items: serving node MSISDN number, serving node routing area identifier, serving node address,

- said serving node routing area identifier contains information items representative of a mobile country code

30 MCC, mobile network code MNC, location area code LAC, and routing area code RAC.

By virtue of the present invention, which, briefly stated, proposes that the old serving system node (SGSN and/or

35 GGSN) report the address of the new serving system (and/or

- 10 -

whereabouts of the new serving system) to the lawful interception system, basically the following advantages can be achieved:

- the previously known solution is improved,
- 5 - it is easy to be implemented to 2G as well as 3G serving system nodes such as SGSN and/or GGSN,
- the lawful interception system (already at the lawful interception gateway which may be a SGSN / GGSN) has the knowledge where the subscriber is (location and/or at
- 10 least serving system (i.e. knowledge which operator runs the serving system serving the target); thus, the old serving system node (e.g. „old SGSN“) and consequently delivery / mediation function get immediately the knowledge where the subscriber is moving to, as this information can
- 15 be critical in case the new serving system node („new SGSN“) is out of the LEA's jurisdiction,

- the HLR or other comparable databases/registers or servers such as HSS need no longer be involved in fetching the necessary information indicating where the intercepted
- 20 subscriber (target) is or is moving to,

- this, in turn, leads to the present invention defining a more efficient solution as no additional signaling with / processing by the HLR / HSS is required due to the fact that once a first (serving system node
- 25 change request) or second detection (of an active communication context) is successful, the old serving system node (SGSN /GGSN) informs the LEA of the new serving system node's address information.

30 Brief description of the drawings

In the following, the present invention will be described in greater detail with reference to the accompanying drawings, in which

- 11 -

Fig. 1 shows a reference configuration for the lawful interception for GPRS (General Packet Radio Systems) as an example of a packet switched communication network and/or serving system; and

5

Fig. 2 shows a signaling scenario for an Inter SGSN Routing Area Update as known from 3GPP TS 23.060 used for explaining the present invention.

10 Fig. 3 shows a signaling scenario for an Attach Procedure as known from 3GPP TS 23.060 used for explaining the present invention.

Detailed description of the embodiments

15

For better understanding of the preset invention, it should be noted that the GSN as an example of a serving system node may be a SGSN or a GGSN in case of GPRS. Thus, the lawful interception system is connected to SGSN or GGSN as
20 a serving system node, dependent on where the interception is performed. SGSN and GGSN are connected to each other and mutually exchange information, as required, via a connection element generally known as Gn interface. The lawful interception system „beyond“ the serving system node
25 SGSN/GGSN shown in Fig. 1 is omitted from the illustration in Fig. 2 in order to keep the drawing simple.

Fig. 2 is described in detail in 3GPP TS 23.060, so that a detailed description thereof is omitted. It is to be noted
30 that the boxes labeled C1 to C4 define CAMEL action points which are not related to the present invention. The present invention, when referring to the signaling shown in Fig. 2, affects steps 2. and/or 6., i.e. the SGSN Context Request and the Update PDP Context Request as respective examples
35 in connection with GPRS as a serving system. When referring

- 12 -

to the signaling shown in Fig. 3, the present invention affects step 2., i.e. the Identification Request, as explained later on.

5 Subsequently, the present invention will be set out in greater detail.

As is commonly agreed, the blocks in Figs. 2 and 3 arranged in horizontal direction indicate network entities and/or terminals involved in the signaling, whereas the arrows there between in horizontal direction represent the signaling. The time relation within the signaling is reflected by the numbering of the arrows as well as by the vertical arrangement of these.

15

MS denotes a mobile station and/or user equipment (UE) as a target to be intercepted. The target accesses a serving system such as the GPRS network via a base station subsystem BSS representing an access network. The serving system comprises at least one serving system node such as a SGSN and/or GGSN. As shown in Fig. 2, there is an „new“ and an „old“ SGSN. „New“ means that the target has moved/roamed to an area in which the new serving system node is determined to be in charge of serving the target. „Old“ means that the old SGSN has formerly been or is currently still in charge of serving the target (until the new one has fully taken over serving of the target). The „new“ and the „old“ serving system node can be nodes of different serving systems which may be in different countries and/or operated by different operators.

30

The present invention conceives a method for informing a lawful interception system (not shown in Fig. 2) of the serving system serving an intercepted target MS roaming within a communication network system. The information

35

- 13 -

related to the serving system is referred to as serving system address. The communication network system comprises at least one serving system, each serving system comprising at least one serving system node serving the intercepted target for communication.

With reference to Fig. 2, assuming that the old and new SGSN are operated by the same operator, there is shown one serving system having two serving system nodes illustrated. Whereas in case that it is assumed that the old and the new SGSN represent serving system nodes operated by different operators and/or in different countries, there is shown a situation with two serving systems each having one serving system node illustrated; the old SGSN could reside in the home network of the target subscriber, whereas the new SGSN would reside in a visited network to which the target has roamed.

When roaming to an area in which a new serving system node is determined to be in charge for serving the target, the target issues a serving system node change request (step 1.). In case of GPRS being the basis of a serving system, such a serving system node change request is referred to as Routing Area Update RAU request. This request is communicated to the new serving system node. The serving system node then performs a first detecting of this serving system node change request received from the intercepted target MS and communicated to the new serving system node which is currently not serving the target. The thus detected serving system node change request is subjected, at the new serving system node currently not serving the target, to a first processing (not shown in Fig. 2). The processing comprises the inclusion, to the (detected and received) request, of a serving system address of the new serving system node currently not

- 14 -

serving the target. Then, there is performed a first forwarding of said processed request (step 2.) to the old serving system node currently (still) serving the target. This old serving system node currently serving the target
5 subsequently informs the interception system (not shown) of the serving system address of the new serving system node. Stated in other words, in case of the lawful interception system being connected to the SGSN, the (old) SGSN informs the LEA directly. In case the lawful interception system is
10 connected to the GGSN, the SGSN transfers the information to the GGSN which in turn transmits it to the LEA.

Furthermore, the new serving system node, to which the target roams, performs a second detecting of whether there
15 is at least one active communication context for said target (such as for example a PDP context active). If so, the node generates a communication context update request (e.g. an Update PDP Context Request) to which request is included the serving system address of the new serving
20 system node currently not serving the target. Subsequently, a second forwarding of said generated request (step 6.) to a gateway serving system node (e.g. GGSN) of the serving system currently serving the intercepted target is performed.

25 Then, said gateway serving system node (e.g. GGSN) informs the interception system (and/or in the end the LEA) of the serving system address of the new serving system node. That is, in case of the lawful interception system being
30 connected to the GGSN, the GGSN informs the LEA directly. In case the lawful interception system is connected to the SGSN, the GGSN transfers the information to the old SGSN which in turn transmits it to the LEA.

- 15 -

The serving system address of the new serving system node represents information indicative of the serving system to which said new serving node belongs. Any such information can be used for this purpose as long as it is sufficient to distinguish the serving system nodes as well as the location / serving system to which they belong from each other. Hence, the information about the serving system to which said new serving node belongs comprises, for example in case of GPRS serving systems, at least one of the following information items: serving node MSISDN number, serving node Routing Area Identifier RAI, serving node address. In other serving systems, the information element (IE) may be referred to by other names.

The above mentioned serving node routing area identifier in turn contains information items representative of a mobile country code MCC, mobile network code MNC, location area code LAC, and routing area code RAC, thereby uniquely defining the node as such as well as its location within the network system and in particular the network / serving system to which it belongs.

Herein before, the present invention has been described with a focus on the method according to the present invention. Nevertheless, the present invention concerns also a correspondingly adapted serving system node of a serving system, the serving system node being adapted to serve an intercepted target MS for communication, and being connectable to a lawful interception system. As will be readily understood by those skilled in the art from the foregoing description of the method, such a serving system node comprises first detection means adapted for performing a first detecting of a serving system node change request from the intercepted target MS, a first processing means adapted for performing a first processing of said serving

- 16 -

system node change request, wherein said processing is adapted to include, to the request, a serving system address of the serving system node, and also comprises a first forwarding means adapted for performing a first forwarding of said processed request to another serving system node currently serving the target.

Also, a corresponding serving system node comprises an informing means adapted to inform the lawful interception system of the serving system address of a new serving system node, said informing means being active in case said serving system node is currently serving the target. The node may inform the lawful interception system and/or the LEA directly in case the interception system is connected to the node (e.g. node is SGSN or GGSN and lawful interception is connected to SGSN or GGSN, respectively). Alternatively, also indirect informing can take place (e.g. in case the node is SGSN and lawful interception system is connected to GGSN).

Furthermore, the proposed serving system node according to the present invention comprised a second detection means adapted for performing a second detecting of at least one active communication context for said target, and has a generation means, controlled by said second detection means, and adapted for generating a communication context update request to which is included the serving system address of the serving system node. Also, a second forwarding means is provided which is adapted for second forwarding said generated request to a gateway serving system node (GGSN) of the serving system currently serving the intercepted target. So, referring to the example shown in Fig. 2, the new SGSN forwards the Update PDP Context Request either to the GGSN of its own serving system in case the new SGSN belongs to the same serving system as the

- 17 -

old SGSN, or forwards the Update PDP Context Request to the GGSN of the old serving system (currently serving the target) in case the new SGSN does not belong to the same serving system as the old SGSN but to another one (e.g. in
5 another country and/or operated by another operator).

Similarly as in connection with the above described method, also in connection with the serving system node according to the present invention, said serving system address of
10 the serving system node represents information about the serving system to which said new serving node belongs. Said information about the serving system to which said serving node belongs comprises at least one of the following information items: serving node MSISDN number, serving node
15 routing area identifier, serving node address, and said serving node routing area identifier contains information items representative of a mobile country code MCC, mobile network code MNC, location area code LAC, and routing area code RAC.

20 Thus, as will be appreciated from the foregoing description, with this invention, (when adhering to the chosen example of GPRS as a basis of a packet switched communication network and/or serving system), a new
25 information element IE is added to the SGSN Context Request that identifies the network where the new SGSN is located. This information will be the E.164 (MSISDN) number of the SGSN, which includes information about the country and network, and/or a Routing Area Identity (RAI). This
30 information is specified in 3GPP TS 23.003 and it is available in all SGSN's. (Both the MSISDN number in E.164 format and RAI are already defined in the ASN.1 object tree, given in 3GPP TS 33.108.)

- 18 -

This invention thus proposes that the old SGSN shall use for the purpose of lawful interception the new SGSN's RAI, once the old SGSN gets this information element with the 'Identification Request' message / SGSN context request message. The new SGSN sends the message once the MS / UE tries to attach to it.

Stated in other words, the detected serving system node change request may not only be a routing area update RAU request as shown in Fig. 2, but may also be an Attach Request as shown in Fig. 3 (taken also from 3GPP TS 23.060), which is subsequently processed as described above in connection with the RAU request (thus yielding an Identification Request modified according to the processing according to the present invention applied to the Attach Request).

After the old SGSN gets the new SGSN's MSISDN number and/or RAI, the lawful interception (LI) system can get it from the old SGSN (directly or via the GGSN) and LEA then gets the information (IRI data) about where the target is located.

In case user to be intercepted has at least one active PDP context, the new SGSN generates and sends an Update PDP Context Request to GGSN. The invention proposes to add the above mentioned new information element into that message as well. In this way, the LI system attached to GGSN can identify instances when user changes PLMN. (GGSN may report also to SGSN which then informs LI system).

As the protocol used between SGSN's, it is to be noted that GTP (GPRS Tunneling Protocol) specified in 3GPP TS 29.060 is used.

- 19 -

According to the invention, the information element is obtained once the target moves to an area of a new serving system node (i.e. new SGSN), from which LEA is for example not entitled to get any interception for this target.

Therefore, it is proposed to pass the Routing Area Identifier RAI, which contains Mobile Country Code MCC, Mobile Network Code MNC, Location Area Code LAC and Routing Area Code RAC of the new SGSN, once the new SGSN is asking the old one to send the SGSN contexts. (Nevertheless, the proposed signaling also takes place if the target moves to a new serving node SGSN from which LEA is still entitled to get interception.)

In such a way, the old SGSN will come to know itself, and tell the LEA, that the target has moved out of the given warrant's (court order) jurisdiction. Besides, the RAI shall tell the LEA in which country and from which operator the target gets the services after the RAU.

Apparently, the above mentioned does not require any involvement of the HLR / HSS, so that there are no extra signaling tasks to perform. Protecting HLR resources is the effectively achieved by the invention, while easily finding out the location (country and more specific coordinates) and network (i.e. which visited network the target is using/attached to) to which the target is roaming.

The present invention thus addresses the three following sub procedures within the two procedures (Attach and Routing Area Update Procedure, respectively):

- SGSN Context Request/Response/Acknowledge (within the inter SGSN RAU procedure),

- Update PDP Context Request/Response (within the inter SGSN RAU procedure), and

- 20 -

- Identification Request/Response (within the Attach procedure).

Accordingly, as has been described herein above, the present invention proposes a method for informing a lawful interception system of the serving system serving an intercepted target MS roaming within a communication network system, the communication network system comprising at least one serving system each serving system comprising at least one serving system node SGSN serving the intercepted target for communication, the method comprising the steps of: first detecting a serving system node change request 1 from the intercepted target MS towards a new serving system node which is currently not serving the target, first processing said serving system node change request at said new serving system node currently not serving the target, wherein said processing comprises the inclusion, to the request, of a serving system address of the new serving system node currently not serving the target, and first forwarding said processed request 2 to an old serving system node currently serving the target. Also, the present invention proposes a serving system node adapted to be used in such a method.

Thus, from the foregoing description of the present invention, it will become clear that having regard to the previous solutions as outlined above with reference to 3GPP TS 33.108 V5.0.0 (2002-06) and 3GPP TS 33.107 V5.3.0 (2002-06), the present invention will lead to the changes to these agreed solutions as follows:
(note that numberings refer to the numbering of section in the respective technical specification TS)

A) As to 3GPP TS 33.108 V5.0.0 (2002-06):

35

- 21 -

6.5 IRI for packet domain

Intercept related information will in principle be available in the following phases of a data transmission:

1. At connection attempt when the target identity becomes
5 active, at which time packet transmission may or may not occur (set up of a data context, target may be the originating or terminating party);
2. At the end of a connection, when the target identity becomes inactive (removal of a data context);
- 10 3. At certain times when relevant information are available.

In addition, information on non-transmission related actions of a target constitute IRI and is sent via HI2, e.g. information on subscriber controlled input.

- 15 The intercept related information (IRI) may be subdivided into the following categories:

1. Control information for HI2 (e.g. correlation information);
2. Basic data context information, for standard data
20 transmission between two parties.

The events defined in ref [11] are used to generate records for the delivery via HI2.

- There are eight different event types received at DF2 level. According to each event, a Record is sent to the
25 LEMF if this is required. The following table gives the mapping between event type received at DF2 level and record type sent to the LEMF.

Table 6.1: Mapping between UMTS Data Events and HI2 records type

| Event | IRI Record Type |
|--|-----------------|
| GPRS attach | REPORT |
| GPRS detach | REPORT |
| PDP context activation (successful) | BEGIN |
| PDP context modification | CONTINUE |
| PDP context activation (unsuccessful) | REPORT |
| Start of intercept with PDP context active | BEGIN |
| PDP context deactivation | END |
| Location update | REPORT |
| SMS | REPORT |
| Serving System | REPORT |

A set of information is used to generate the records. The
5 records used transmit the information from mediation
function to LEMF. This set of information can be extended
in the GSN or DF2 MF, if this is necessary in a specific
country. The following table gives the mapping between
information received per event and information sent in
10 records.

Table 6.2: Mapping between Events information and IRI information

| parameter | description | CH2 ASN-1 parameter |
|----------------------------------|--|--|
| observed MSISDN | Target Identifier with the MSISDN of the target subscriber (monitored subscriber). | partyInformation (party-identity) |
| observed IMSI | Target Identifier with the IMSI of the target subscriber (monitored subscriber). | partyInformation (party-identity) |
| observed IMEI | Target Identifier with the IMEI of the target subscriber (monitored subscriber) | partyInformation (party-identity) |
| observed PDP address | PDP address used by the target.. | partyInformation (services-data-information) |
| event type | Description which type of event is delivered: PDP Context Activation, PDP Context Deactivation, GPRS Attach, etc. | gPRSevent |
| event date | Date of the event generation in the xGSN | timeStamp |
| event time | Time of the event generation in the xGSN | |
| access point name | The APN of the access point | partyInformation (services-data-information) |
| PDP type | This field describes the PDP type as defined in TS GSM 09.60, TS GSM 04.08, TS GSM 09.02 | partyInformation (services-data-information) |
| initiator | This field indicates whether the PDP context activation, deactivation, or modification is MS directed or network initiated. | initiator |
| correlation number | Unique number for each PDP context delivered to the LEMF, to help the LEA, to have a correlation between each PDP Context and the IRI. | gPRSCorrelationNumber |
| lawful interception identifier | Unique number for each lawful authorization. | lawfulInterceptionIdentifier |
| location information | This field provides the service area identity, RAI and/or location area identity that is present at the SGSN at the time of event record production. | locationOfTheTarget |
| SMS | The SMS content with header which is sent with the SMS-service | sMS |
| failed context activation reason | This field gives information about the reason for a failed context activation of the target subscriber. | gPRSOperationErrorCode |
| failed attach reason | This field gives information about the reason for a failed attach attempt of the target subscriber. | gPRSOperationErrorCode |
| service center address | This field identifies the address of the relevant server within the calling (if server is originating) or called (if server is terminating) party address parameters for SMS-MO or SMS-MT. | serviceCenterAddress |
| umts QOS | This field indicates the Quality of Service associated with the PDP Context procedure. | qOS |
| context deactivation reason | This field gives information about the reason for context deactivation of the target subscriber. | gPRSOperationErrorCode |
| network identifier | Operator ID plus SGSN or GGSN address. | networkIdentifier |
| IP assignment | Observed PDP address is statically or dynamically assigned. | iP-assignment |
| SMS originating address | Identifies the originator of the SMS message. | DataNodeAddress |
| SMS terminating address | Identifies the intended recipient of the SMS message. | DataNodeAddress |
| SMS initiator | Indicates whether the SMS is MO, MT, or Undefined | sms-initiator |
| serving SGSN number | An E.164 number of the serving SGSN. | ServingSGSN-Number |
| serving SGSN address | An IP address of the serving SGSN. | ServingSGSN-Address |
| serving SGSN RAI | Routing Area Identity of the new SGSN | RAI (servingSGSN-RAI) |

NOTE: LIID parameter must be present in each record sent to the LEMF.

6.5.1 Events and information

This clause describes the information sent from the Delivery Function (DF) to the Law Enforcement Monitoring Facility (LEMF) to support Lawfully Authorized Electronic Surveillance (LAES). The information is described as records and information carried by a record. This focus is on describing the information being transferred to the LEMF.

10 The IRI events and data are encoded into records as defined in the Table 6-1 Mapping between GPRS Events and HI2 records type and Annex B.3 Intercept related information (HI2) [1]. IRI is described in terms of a 'causing event' and information associated with that event. Within each
15 IRI Record there is a set of events and associated information elements to support the particular service. The communication events described in Table 6-1: Mapping between GPRS Events and HI2 record type and Table 6-2: Mapping between Events information and IRI information
20 convey the basic information for reporting the disposition of a communication. This clause describes those events and supporting information.

Each record described in this clause consists of a set of parameters. Each parameter is either:

- 25 mandatory (M) - required for the record,
 conditional (C) - required in situations where a
 condition is met (the condition is given in the
 Description), or
 optional (O) - provided at the discretion of the
30 implementation.

The information to be carried by each parameter is identified. Both optional and conditional parameters are considered to be OPTIONAL syntactically in ASN.1 Stage 3

- 25 -

descriptions. The Stage 2 inclusion takes precedence over Stage 3 syntax.

6.5.1.1 REPORT record information

- 5 The REPORT record is used to report non-communication related subscriber actions (events) and for reporting unsuccessful packet-mode communication attempts.
- The REPORT record shall be triggered when:
- 10 - the intercept subject's mobile station performs a GPRS attach procedure (successful or unsuccessful);
 - the intercept subject's mobile station performs a GPRS detach procedure;
 - the intercept subject's mobile station is unsuccessful at performing a PDP context activation procedure;
 - 15 - ~~the intercept subject's mobile station performs a cell, routing area, or combined cell and routing area update.~~ The new SGSN always reports the event, if applicable. The old SGSN should report the event;
- the intercept subject's mobile station sends an SMS-
- 20 Mobile Originated (MO) communication. Dependent on national requirements, the triggering event shall occur either when the 3G SGSN receives the SMS from the target MS or, when the 3G SGSN receives notification that the SMS-Centre successfully received the SMS;
- 25 for GSM and UMTS systems deployed in the U.S., a REPORT record shall be triggered when the 3G SGSN receives an SMS-MO communication from the intercept subject's mobile station;
- 30 - the intercept subject's mobile station receives a SMS Mobile-Terminated (MT) communication. Dependent on national requirements, the triggering event shall occur

- 26 -

either when the 3G SGSN receives the SMS from the SMS-Centre or, when the 3G SGSN receives notification that the target MS successfully received the SMS;

for GSM and UMTS systems deployed in the U.S., a REPORT record shall be triggered when the 3G SGSN receives an SMS-MT communication from the SMS-Centre destined for the intercept subject's mobile station;

- as a national option, a mobile terminal is authorized for service with another network operator or service provider.

Table 6.3: GPRS Attach REPORT Record

| Parameter | MOC | Description/Conditions |
|-----------------------------|-----|--|
| observed MSISDN | C | Provide at least one and others when available. |
| observed IMSI | | |
| observed IMEI | | |
| event type | C | Provide GPRS Attach event type. |
| event date | M | Provide the date and time the event is detected. |
| event time | | |
| network identifier | M | Shall be provided. |
| lawful intercept identifier | M | Shall be provided. |
| location information | C | Provide, when authorized, to identify location information for the intercept subject's MS. |
| failed attach reason | C | Provide information about the reason for failed attach attempts of the target subscriber. |
| serving SGSN RAI | C | Provided only by the old SGSN, when the alternative serving system reporting is supported, and if the new SGSN sends the Identification Request to it. |

Table 6.4: GPRS Detach REPORT Record

| Parameter | MOC | Description/Conditions |
|-----------------------------|-----|--|
| observed MSISDN | C | Provide at least one and others when available. |
| observed IMSI | | |
| observed IMEI | | |
| event type | C | Provide GPRS Detach event type. |
| event date | M | Provide the date and time the event is detected. |
| event time | | |
| network identifier | M | Shall be provided. |
| lawful intercept identifier | M | Shall be provided. |
| location information | C | Provide, when authorized, to identify location information for the intercept subject's MS. |

Table 6.5: PDP Context Activation (unsuccessful) REPORT Record

| Parameter | MOC | Description/Conditions |
|----------------------------------|-----|---|
| observed MSISDN | C | Provide at least one and others when available. |
| observed IMSI | | |
| observed IMEI | | |
| observed PDP address | C | Provide to identify either the: <ul style="list-style-type: none"> - static address requested by the intercept subject's MS in association with a subject-initiated PDP context activation request for unsuccessful PDP context activation requests; or - address offered by the network in association with a network-initiated PDP context activation request when the intercept subject's MS rejects the network-initiated PDP context activation. |
| IP assignment | C | Provide to indicate observed PDP address is statically or dynamically assigned. |
| event type | C | Provide PDP Context Activation event type. |
| event date | M | Provide the date and time the event is detected. |
| event time | | |
| access point name | C | Provide to identify either the: <ul style="list-style-type: none"> - packet data network to which the intercept subject requested to be connected when the intercept subject's mobile station is unsuccessful at performing a PDP context activation procedure (MS to Network); or - access point of the packet data network that requested to be connected to the MS when the intercept subject's mobile station rejects a network-initiated PDP context activation (Network to MS). |
| PDP type | C | Provide to describe the PDP type of the observed PDP address. The PDP Type defines the end user protocol to be used between the external packet data network and the MS. |
| initiator | C | Provide to indicate whether the PDP context activation is network-initiated, intercept-subject-initiated, or not available. |
| network identifier | M | Shall be provided. |
| lawful intercept identifier | M | Shall be provided. |
| location information | C | Provide, when authorized, to identify location information for the intercept subject's MS. |
| failed context activation reason | C | Provide information about the reason for failed context activation attempts of the target subscriber. |
| QOS | C | Provide to identify the QOS parameters. |

Table 6.6: Location Information Update (with No PDP Context Active) REPORT Record

| Parameter | MOC | Description/Conditions |
|-----------------------------|-----|--|
| observed MSISDN | C | Provide at least one and others when available. |
| observed IMSI | | |
| observed IMEI | | |
| event type | C | Provide Location Information Update event type. |
| event date | M | Provide the date and time the event is detected. |
| event time | | |
| network identifier | M | Shall be provided. |
| lawful intercept identifier | M | Shall be provided. |
| location information | C | Provide, when authorized, to identify location information for the intercept subject's MS. |
| serving SGSN RAI | C | Provided only by the old SGSN, when the alternative serving system reporting is supported, and if the new SGSN sends the Identification Request to it. |
| serving SGSN number | C | Provided only by the old SGSN, when the alternative serving system reporting is supported, and if the new SGSN sends the Identification Request to it. |

Table 6.7: SMS-MO and SMS-MT Communication REPORT Record

| Parameter | MOC | Description/Conditions |
|-----------------------------|-----|---|
| observed MSISDN | C | Provide at least one and others when available. |
| observed IMSI | | |
| observed IMEI | | |
| event type | C | Provide SMS event type. |
| event date | M | Provide the date and time the event is detected. |
| event time | | |
| network identifier | M | Shall be provided. |
| lawful intercept identifier | M | Shall be provided. |
| SMS originating address | O | Provide to identify the originating and destination address of the SMS message |
| SMS destination address | | |
| location information | C | Provide, when authorized, to identify location information for the intercept subject's MS. |
| SMS | C | Provide to deliver SMS content, including header which is sent with the SMS-service. |
| service center address | C | Provide to identify the address of the relevant SMS-C server. If SMS content is provided, this parameter is optional. |
| SMS initiator | M | Indicates whether the SMS is MO, MT, or Undefined. |

5

Table 6.8: Serving System REPORT Record

| Parameter | MOC | Description/Conditions |
|-----------------------------|-----|---|
| observed MSISDN | C | Provide at least one and others when available. |
| observed IMSI | | |
| observed IMEI | | |
| event type | C | Provide Serving System event type. |
| event date | M | Provide the date and time the event is detected. |
| event time | | |
| network identifier | M | Network identifier of the HLR reporting the event. |
| lawful intercept identifier | M | Shall be provided. |
| ServingSGSN-Number | C | Provide to identify the E.164 number of the serving SGSN. |
| ServingSGSN-Address | C | Provide to identify the IP address of the serving SGSN. |

- 29 -

6.5.1.2 BEGIN record information

The BEGIN record is used to convey the first event of packet-data communication interception.

The BEGIN record shall be triggered when:

- 5 - successful PDP context activation;
- ~~the~~ the interception of a subject's communications is started and at least one PDP context is active. If more than one PDP context is active, a BEGIN record shall be generated for each PDP context that is
- 10 active.

Table 6.98: PDP Context Activation (successful) BEGIN Record

| Parameter | MOC | Description/Conditions |
|-----------------------------|-----|--|
| observed MSISDN | C | Provide at least one and others when available. |
| observed IMSI | | |
| observed IMEI | | |
| observed PDP address | C | Provide to identify one of the following: <ul style="list-style-type: none"> - static address requested by the intercept subject's MS, and allocated by the Network for a successful PDP context activation; - address allocated dynamically by the network to the intercept subject MS in association with a PDP context activation (i.e., address is sent by the Network in an Activate PDP Context Accept) for a successful PDP context activation procedure when the PDP Context activation request does not contain a static PDP address; or - address offered by the network in association with a network-initiated PDP context activation request when the intercept subject's MS accepts the network-initiated PDP context activation request. |
| IP assignment | C | Provide to indicate observed PDP address is statically or dynamically assigned. |
| event type | C | Provide PDP Context Activation event type. |
| event date | M | Provide the date and time the event is detected. |
| event time | | |
| access point name | C | Provide to identify the: <ul style="list-style-type: none"> - packet data network to which the intercept subject requested to be connected when the intercept subject's MS is successful at performing a PDP context activation procedure (MS to Network). - access point of the packet data network that requested to be connected to the MS when the intercept subject's MS accepts a network-initiated PDP context activation (Network to MS). |
| PDP type | C | Provide to describe the PDP type of the observed PDP address. The PDP Type defines the end user protocol to be used between the external packet data network and the MS. |
| initiator | C | Provide to indicate whether the PDP context activation is network-initiated, intercept-subject-initiated, or not available. |
| network identifier | M | Shall be provided. |
| correlation number | C | Provide to uniquely identify the PDP context delivered to the LEMF and to correlate IRI records with CC. |
| lawful intercept identifier | M | Shall be provided. |
| location information | C | Provide, when authorized, to identify location information for the intercept subject's MS. |
| QOS | C | Provide to identify the QOS parameters. |

Table 6.109: Start Of Interception (with PDP Context Active) BEGIN Record

| Parameter | MOC | Description/Conditions |
|-----------------------------|-----|--|
| observed MSISDN | C | Provide at least one and others when available. |
| observed IMSI | | |
| observed IMEI | | |
| observed PDP address | C | Provide to identify the: <ul style="list-style-type: none"> - static address requested by the intercept subject's MS, and allocated by the Network for a successful PDP context activation. - address allocated dynamically by the network to the intercept subject MS in association with a PDP context activation (i.e., address is sent by the Network in an Activate PDP Context Accept) for a successful PDP context activation procedure when the PDP Context activation request does not contain a static PDP address. - address offered by the network in association with a network-initiated PDP context activation request when the intercept subject's MS accepts the network-initiated PDP context activation request. |
| event type | C | Provide Start Of Interception With PDP Context Active event type. |
| event date | M | Provide the date and time the event is detected. |
| event time | | |
| access point name | C | Provide to identify the: <ul style="list-style-type: none"> - packet data network to which the intercept subject requested to be connected when the intercept subject's MS is successful at performing a PDP context activation procedure (MS to Network). - access point of the packet data network that requested to be connected to the MS when the intercept subject's MS accepts a network-initiated PDP context activation (Network to MS). |
| PDP type | C | Provide to describe the PDP type of the observed PDP address. The PDP Type defines the end user protocol to be used between the external packet data network and the MS. |
| initiator | C | Provide to indicate whether the PDP context activation is network-initiated, intercept-subject-initiated, or not available. |
| network identifier | M | Shall be provided. |
| correlation number | C | Provide to uniquely identify the PDP context delivered to the LEMF and to correlate IRI records with CC. |
| lawful intercept identifier | M | Shall be provided. |
| location information | C | Provide, when authorized, to identify location information for the intercept subject's MS. |
| QOS | C | Provide to identify the QOS parameters. |

6.5.1.3 CONTINUE record information

The CONTINUE record is used to convey events during an active packet-data communication PDP Context.

The CONTINUE record shall be triggered when:

- An active PDP context is modified;

Table 6.119: PDP Context Modification CONTINUE Record

| Parameter | MOC | Description/Conditions |
|-----------------------------|-----|--|
| observed MSISDN | C | Provide at least one and others when available. |
| observed IMSI | | |
| observed IMEI | | |
| observed PDP address | C | <p>The observed address after modification</p> <p>Provide to identify the:</p> <ul style="list-style-type: none"> - static address requested by the intercept subject's MS, and allocated by the Network for a successful PDP context activation. - address allocated dynamically by the network to the intercept subject MS in association with a PDP context activation (i.e., address is sent by the Network in an Activate PDP Context Accept) for a successful PDP context activation procedure when the PDP Context activation request does not contain a static PDP address. - address offered by the network in association with a network-initiated PDP context activation request when the intercept subject's MS accepts the network-initiated PDP context activation request. |
| event type | C | Provide the PDP Context Modification event type. |
| event date | M | Provide the date and time the event is detected. |
| event time | | |
| access point name | C | <p>Provide to identify the:</p> <ul style="list-style-type: none"> - packet data network to which the intercept subject requested to be connected when the intercept subject's MS is successful at performing a PDP context activation procedure (MS to Network). - access point of the packet data network that requested to be connected to the MS when the intercept subject's MS accepts a network-initiated PDP context activation (Network to MS). |
| PDP type | C | Provide to describe the PDP type of the observed PDP address. The PDP Type defines the end user protocol to be used between the external packet data network and the MS. |
| initiator | C | Provide to indicate whether the PDP context activation is network-initiated, intercept-subject-initiated, or not available. |
| network identifier | M | Shall be provided. |
| correlation number | C | Provide to uniquely identify the PDP context delivered to the LEMF used to correlate IRI records with CC. |
| lawful intercept identifier | M | Shall be provided. |
| location information | C | Provide, when authorized, to identify location information for the intercept subject's MS. |
| QOS | C | Provide to identify the QOS parameters. |
| serving SGSN RA | C | <u>Provided only by the old SGSN, when the alternative serving system reporting is supported, and if the new SGSN sends the Identification Request to it.</u> |
| serving SGSN number | C | <u>Provided only by the old SGSN, when the alternative serving system reporting is supported, and if the new SGSN sends the Identification Request to it.</u> |

6.5.1.4 END record information

The END record is used to convey the last event of packet-
data communication interception.

The END record shall be triggered when:

- PDP context deactivation.

Table 6.124: PDP Context Deactivation END Record

| Parameter | MOC | Description/Conditions |
|-----------------------------|-----|--|
| observed MSISDN | C | Provide at least one and others when available. |
| observed IMSI | | |
| observed IMEI | | |
| observed PDP address | C | Provide to identify the PDP address assigned to the intercept subject, if available. |
| event type | C | Provide PDP Context Deactivation event type. |
| event date | M | Provide the date and time the event is detected. |
| event time | | |
| access point name | C | Provide to identify the packet data network to which the intercept subject is connected. |
| PDP type | C | Provide to describe the PDP type of the observed PDP address. The PDP Type defines the end user protocol to be used between the external packet data network and the MS. |
| initiator | C | Provide to indicate whether the PDP context deactivation is network-initiated, intercept-subject-initiated, or not available. |
| network identifier | M | Shall be provided. |
| correlation number | C | Provide to uniquely identify the PDP context delivered to the LEM and to correlate IRI records with CC. |
| lawful intercept identifier | M | Shall be provided. |
| location information | C | Provide, when authorized, to identify location information for the intercept subject's MS. |
| context deactivation reason | C | Provide to indicate reason for deactivation. |

6.6 IRI reporting for packet domain at GGSN

As a national option, in the case where the GGSN is reporting IRI for an intercept subject, the intercept subject is handed off to another SGSN and the same GGSN continues to handle the content of communications subject to roaming agreements, the GGSN shall continue to report the following IRI of the content of communication:

- PDP context activation;
- PDP context deactivation;
- ———Start of interception with PDP context active;
- PDP context modification.

6.7 Content of communication interception for packet domain at GGSN

As a national option, in the case where the GGSN is performing interception of the content of communications, the intercept subject is handed off to another SGSN and the

- 34 -

same GGSN continues to handle the content of communications subject to roaming agreements, the GGSN shall continue to perform the interception of the content of communication.

***** Next Modification *****

5

```

IRI-Parameters ::= SEQUENCE
{
    hi2DomainId      [0] OBJECT IDENTIFIER, -- 3GPP HI2 domain
    iRIversion        [23] ENUMERATED
    {
        version2(2),
        --
    } OPTIONAL,
    -- if not present, it means version 1 is handled
    lawfulInterceptionIdentifier [1] LawfulInterceptionIdentifier,
    -- This identifier is associated to the target.
    timeStamp         [3] TimeStamp,
    -- date and time of the event triggering the report.)
    initiator         [4] ENUMERATED
    {
        not-Available (0),
        originating-Target (1),
        -- in case of GPRS, this indicates that the PDP context activation
        -- or deactivation is MS requested
        terminating-Target (2),
        -- in case of GPRS, this indicates that the PDP context activation or
        -- deactivation is network initiated
        ...
    } OPTIONAL,

    locationOfTheTarget [8] Location OPTIONAL,
    -- location of the target subscriber
    partyInformation    [9] SET SIZE (1..10) OF PartyInformation OPTIONAL,
    -- This parameter provides the concerned party, the identity(ies) of the party
    --)and all the information provided by the party.

    serviceCenterAddress [13] PartyInformation OPTIONAL,
    -- e.g. in case of SMS message this parameter provides the address of the
    relevant
    -- server within the calling (if server is originating) or called (if server is
    -- terminating) party address parameters
    sms                 [14] SMS-report OPTIONAL,
    -- this parameter provides the SMS content and associated information

    national-Parameters [16] National-Parameters OPTIONAL,
    gPRSCorrelationNumber [18] GPRSCorrelationNumber OPTIONAL,
    gPRSevent            [20] GPRSevent OPTIONAL,
    -- This information is used to provide particular action of the target
    -- such as attach/detach
    sgSNAddress          [21] DataNodeAddress OPTIONAL,
    gPRSOperationErrorCode [22] GPRSOperationErrorCode OPTIONAL,
    ggsnAddress          [24] DataNodeAddress OPTIONAL,
    qos                  [25] UmtsQos OPTIONAL,
    networkIdentifier    [26] Network-Identifier OPTIONAL,
    smsOriginatingAddress [27] DataNodeAddress OPTIONAL,
    smsTerminatingAddress [28] DataNodeAddress OPTIONAL,
    imSevent            [29] IMSevent OPTIONAL,
    sipMessage           [30] OCTET STRING OPTIONAL,
    servingSGSN-number   [31] OCTET STRING (SIZE (1..20)) OPTIONAL,
    servingSGSN-address  [32] OCTET STRING (SIZE (5..17)) OPTIONAL,
    servingSGSN-RAI      [33] RAI OPTIONAL,
    ...
}

```

- 35 -

***** Next Modification *****

```

5  GPRSEvent ::= ENUMERATED
   {
      pDPContextActivation (1),
      startOfInterceptionWithPDPCContextActive (2),
      -- reported by a new SGSN or GGSN.--
10  pDPContextDeactivation (4),
      gPRSAttach (5),
      gPRSDetach (6),
      locationInfoUpdate (10),
      -- reported by a new SGSN
15  sms (11),
      pDPContextModification (13),
      -- reported by SGSN or GGSN
      servingSystem (14),
      ...
20  -- is it correct to leave this extensibility ellipsis here?
      gPRSAttachAnotherSGSN (15),
      -- reported by an old SGSN, if it receives the Identification Request message
      locationInfoUpdate (16),
      -- reported by an old SGSN
25  startOfInterceptionWithPDPCContextActive (17),
      -- reported by an old SGSN
      ...
   }
30  -- see ref [190]

```

35 and

B) As to 3GPP TS 33.107 V5.3.0 (2002-06):

40

***** Next Modification *****

7.3.2 Structure of the events

There are eight different events in which the information is sent to the DF2 if this is required. Details are described in the following section. The events for

45 interception are configurable (if they are sent to DF2) in the 3G GSN or the HLR and can be suppressed in the DF2.

The following events are applicable to 3G SGSN:

- Mobile Station Attach;

- 36 -

- Mobile Station Detach;
- PDP context activation;
- Start of intercept with PDP context active;
- PDP context modification;
- 5 - PDP context deactivation;
- RA update;
- SMS.

NOTE: 3G GGSN interception is a national option. Location information may not be available in this case.

10 **The following events are applicable to the 3G GGSN:**

- PDP context activation;
- PDP context modification;
- PDP context deactivation;
- Start of interception with PDP context active.

15 **The following events are applicable to the HLR:**

- Roaming.

A set of fields as shown below is used to generate the events. The events transmit the information from 3G GSN or HLR to DF2. This set of fields as shown below can be
20 extended in the 3G GSN or HLR, if this is necessary as a national option. DF2 can extend this information if this is necessary as a national option e.g. a unique number for each surveillance warrant.

Table 2: Information Events for Packet Data Event Records

| |
|---|
| Observed MSISDN MSISDN of the target subscriber (monitored subscriber). |
| Observed IMSI IMSI of the target subscriber (monitored subscriber). |
| Observed IMEI IMEI of the target subscriber (monitored subscriber), it shall be checked for each activation over the radio interface. |
| Event type Description which type of event is delivered: MS attach, MS detach, PDP context activation, Start of intercept with PDP context active, PDP context deactivation, SMS, Serving System, Cell and/or RA update <u>from new SGSN</u> , Cell and/or RA update from old SGSN, PDP context modification from new SGSN, PDP context modification from GGSN. |
| Event date Date of the event generation in the 3G GSN or the HLR. |
| Event time Time of the event generation in the 3G GSN or the HLR. |
| PDP address The PDP address of the target subscriber. Note that this address might be dynamic. |
| Access Point Name The APN of the access point. (Typically the GGSN of the other party). |
| Location Information Location information is the Service Area Identity (SAI), RAI and/or location area identity that is present at the GSN at the time of event record production. |
| PDP Type The used PDP type. |
| Correlation Number The correlation number is used to correlate CC and IRI. |
| SMS The SMS content with header which is sent with the SMS-service. The header also includes the SMS-Centre address. |
| Network Element Identifier , Unique identifier for the element reporting the ICE. |
| Failed attach reason Reason for failed attach of the target subscriber. |
| Failed context activation reason Reason for failed context activation of the target subscriber. |
| IAS The observed Interception Areas. |
| Session Initiator The initiator of the PDP context activation, deactivation or modification request either the network or the 3G MS. |
| Initiator SMS indicator whether the SMS is MO or MT. |
| Deactivation / termination cause The termination cause of the PDP context. |
| QoS This field indicates the Quality of Service associated with the PDP Context procedure. |
| Serving System Address Information about the serving system (e.g. serving SGSN <u>MSISDN</u> number, <u>serving SGSN RAI</u> or serving SGSN address). |

Next Modification

7.4.1 Mobile Station Attach

For attach an attach-event is generated. When an attach activation is generated from the mobile to servicing 3G SGSN this event is generated. These fields will be delivered to the DF2 if available:

| |
|----------------------------|
| Observed MSISDN |
| Observed IMSI |
| Observed IMEI |
| Event Type |
| Event Time |
| Event Date |
| Network Element Identifier |
| Location Information |
| Failed attach reason |
| IAs (if applicable) |

In case the alternative serving system reporting is supported, and if the new SGSN sends the Identification Request to the old SGSN, then the old SGSN should deliver the following fields to the DF2:

| |
|----------------------------|
| Observed MSISDN |
| Observed IMSI |
| Observed IMEI |
| Event Type |
| Event Time |
| Event Date |
| Network Element Identifier |
| Location Information |
| New SGSN's RAI |

***** Next Modification *****

15 7.4.6 RA update

For each RA update an update-event with the fields about the new location is generated. These fields ~~will~~shall be delivered by new SGSN to the DF2 if available:

- 39 -

| |
|----------------------------|
| Observed MSISDN |
| Observed IMSI |
| Observed IMEI |
| Event Type |
| Event Time |
| Event Date |
| Network Element Identifier |
| Location Information |
| IAs (if applicable) |

These fields may be delivered by old SGSN to the DF2 if available:

| |
|-----------------------------------|
| Observed MSISDN |
| Observed IMSI |
| Observed IMEI |
| Event Type |
| Event Time |
| Event Date |
| Network Element Identifier |
| Location Information |
| IAs (if applicable) |
| New SGSN's Serving System Address |

5

***** Next Modification *****

7.4.8 Packet Data PDP context modification

This event ~~will~~ shall be generated if interception for a target is started and if the target has at least one PDP context active. These fields ~~will~~ shall be delivered by new SGSN to the DF2 if available:

10

| |
|-------------------------------|
| Observed MSISDN |
| Observed IMSI |
| Observed IMEI |
| PDP address of observed party |
| Event Type |
| Event Time |
| Event Date |
| Correlation number |
| Access Point Name |
| PDP Type |
| Network Element Identifier |
| Location Information |
| IAs (if applicable) |
| Session Initiator |
| QoS |

These fields may be delivered by GGSN to the DF2 if available:

| |
|-----------------------------------|
| Observed MSISDN |
| Observed IMSI |
| PDP address of observed party |
| Event Type |
| Event Time |
| Event Date |
| Correlation number |
| Access Point Name |
| PDP Type |
| Network Element Identifier |
| Session Initiator |
| QoS |
| New SGSN's Serving System Address |

7.4.9 Serving System

In case the network does not support alternative serving system reporting, ~~T~~the Serving System report event is generated at the HLR, when the HLR has detected that the intercept subject has roamed. The fields will be delivered to the DF2 if available:

| |
|----------------------------|
| Observed MSISDN |
| Observed IMSI |
| Observed IMEI |
| Event Type |
| Event Time |
| Event Date |
| Network Element Identifier |
| Serving System Address |

- 10 When the network supports the alternative serving system reporting, the following events shall be generated:
- RA Update by old SGSN (in addition to the RA Update by new SGSN)
 - Packet Data PDP context modification by GGSN (in addition to the Packet Data PDP context modification by new SGSN)
 - Attach from the old SGSN in case the alternative serving system reporting is supported, and if the new SGSN sends the Identification Request to the old SGSN
- 20 In order to support the alternative serving system reporting, new SGSN should put its own RAI and /or MSISDN

- 41 -

number into the Private Extension IE of the following GTP-C messages:

- SGSN Context Request, sent to the old SGSN
- Update PDP context Request, sent to GGSN

5 Formats of the RAI and MSISDN number are e.g. defined in the GTP specification [7].

10 While the invention has been described with reference to a preferred embodiment, the description is illustrative of the invention and is not to be construed as limiting the invention. Various modifications and applications may occur to those skilled in the art without departing from the true spirit and scope of the invention as defined by the

15 appended claims.

Claims

1. A method for informing a lawful interception system
5 of the serving system
serving an intercepted target (MS)
roaming within a communication network
system,
the communication network system comprising
10 at least one serving system
each serving system comprising
at least one serving system node (SGSN) serving
the intercepted target for communication,
the method comprising the steps of:
15 first detecting a serving system node change request
(1.) from the intercepted target (MS) towards a new serving
system node which is currently not serving the target,
first processing said serving system node change
request at said new serving system node currently not
20 serving the target, wherein said processing comprises the
inclusion, to the request, of a serving system address of
the new serving system node currently not serving the
target, and
first forwarding said processed request (2.) to an old
25 serving system node currently serving the target.
2. A method according to claim 1, wherein
said old serving system node currently serving the
target informs the interception system of the serving
30 system address of the new serving system node.
3. A method according to claim 1, further comprising
second detecting at least one active communication
context for said target, and in response thereto,

- 43 -

generating a communication context update request to which is included the serving system address of the new serving system node currently not serving the target, and

second forwarding said generated request (6.) to a gateway serving system node (GGSN) of the serving system currently serving the intercepted target.

4. A method according to claim 3, wherein

said gateway serving system node (GGSN) informs the interception system of the serving system address of the new serving system node.

5. A method according to claim 1, 2, 3, or 4, wherein

said serving system address of the new serving system node represents information about the serving system to which said new serving node belongs.

6. A method according to claim 5, wherein

said information about the serving system to which said new serving node belongs comprises at least one of the following information items: serving node MSISDN number, serving node routing area identifier, serving node address.

7. A method according to claim 6, wherein

said serving node routing area identifier contains information items representative of a mobile country code MCC, mobile network code MNC, location area code LAC, and routing area code RAC.

8. A serving system node of a serving system, the serving system node

being adapted to serve an intercepted target (MS) for communication, and

being connectable to a lawful interception system,

the serving system node comprising:

first detection means adapted for first detecting a serving system node change request (1.) from the intercepted target (MS),

5 first processing means adapted for first processing said serving system node change request, wherein said processing is adapted to include, to the request, a serving system address of the serving system node, and

10 first forwarding means adapted for first forwarding said processed request (2.) to another serving system node currently serving the target.

9. A serving system node according to claim 8, comprising

15 informing means adapted to inform the interception system of the serving system address of a new serving system node, said informing means being active in case said serving system node is currently serving the target.

10. A serving system node according to claim 8, further
20 comprising

second detection means adapted for second detecting at least one active communication context for said target, and

25 generation means, controlled by said second detection means, and adapted for generating a communication context update request to which is included the serving system address of the serving system node, and

30 second forwarding means adapted for second forwarding said generated request (6.) to a gateway serving system node (GGSN) of the serving system currently serving the intercepted target.

11. A serving system node according to claim 8, 9, or 10, wherein

- 45 -

said serving system address of the serving system node represents information about the serving system to which said new serving node belongs.

- 5 12. A serving system node according to claim 11, wherein
said information about the serving system to which
said serving node belongs comprises at least one of the
following information items: serving node MSISDN number,
serving node routing area identifier, serving node address.

10

13. A serving system node according to claim 12, wherein
said serving node routing area identifier contains
information items representative of a mobile country code
MCC, mobile network code MNC, location area code LAC, and
15 routing area code RAC.

1/3

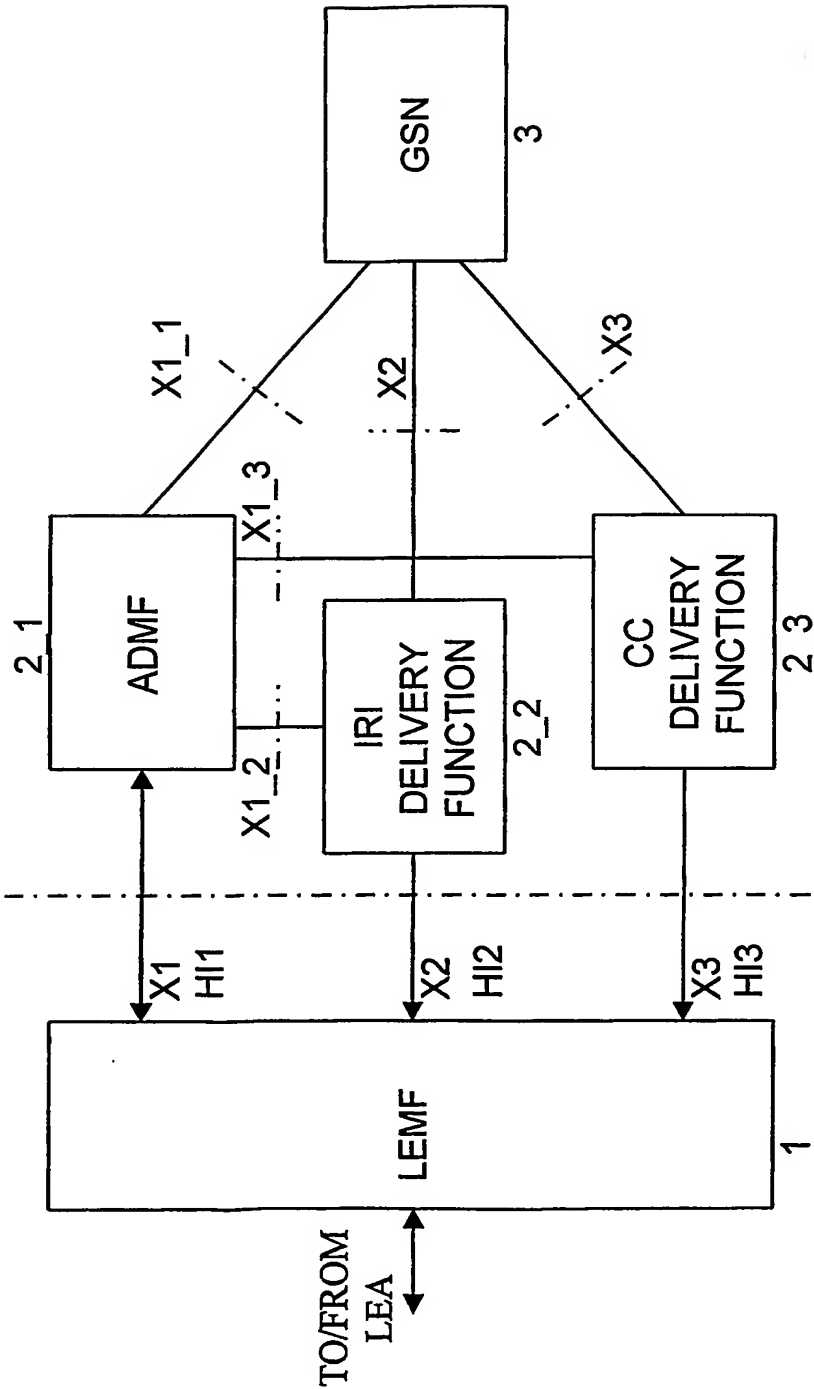


FIG. 1

2/3

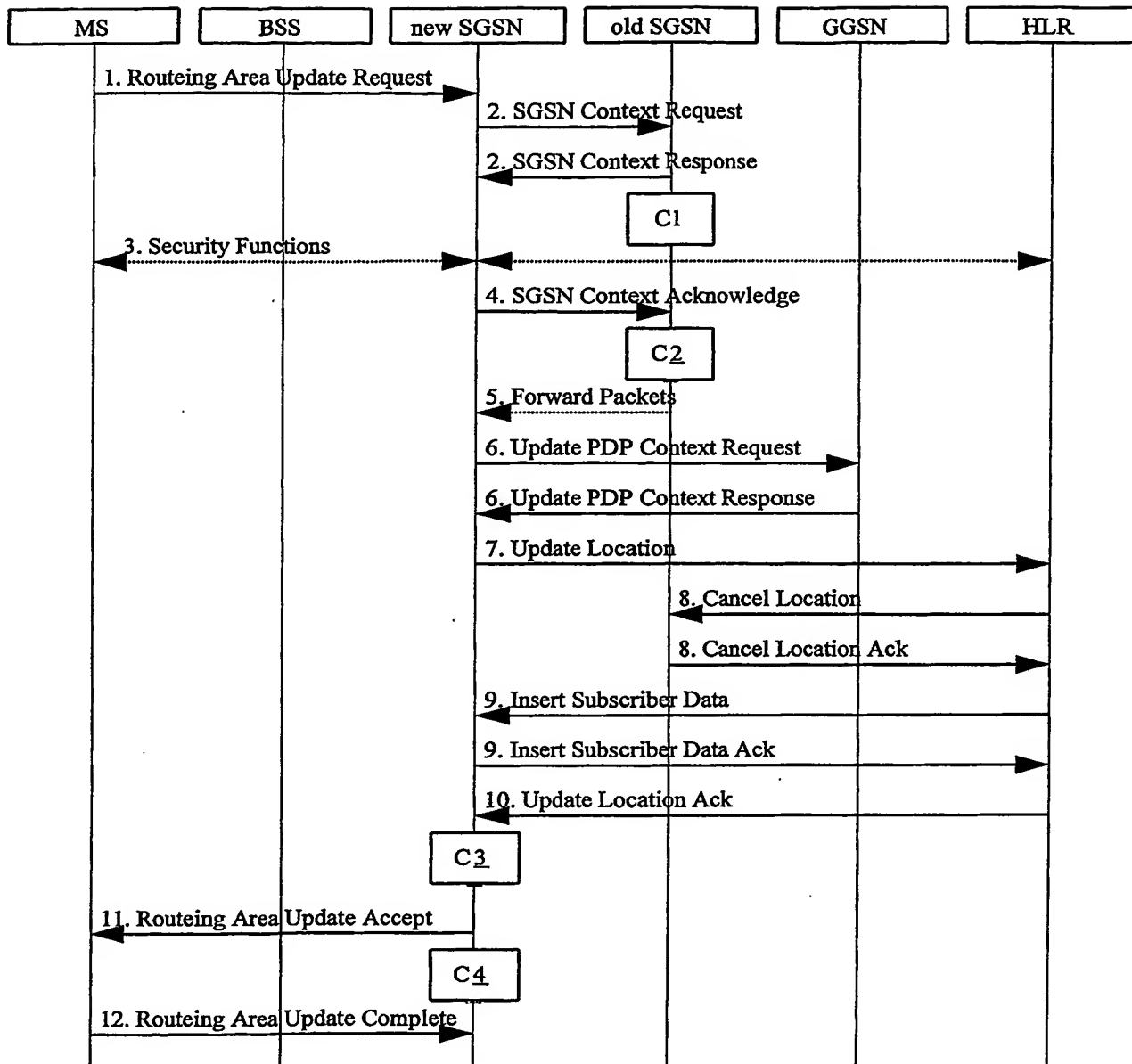


Fig. 2

3/3

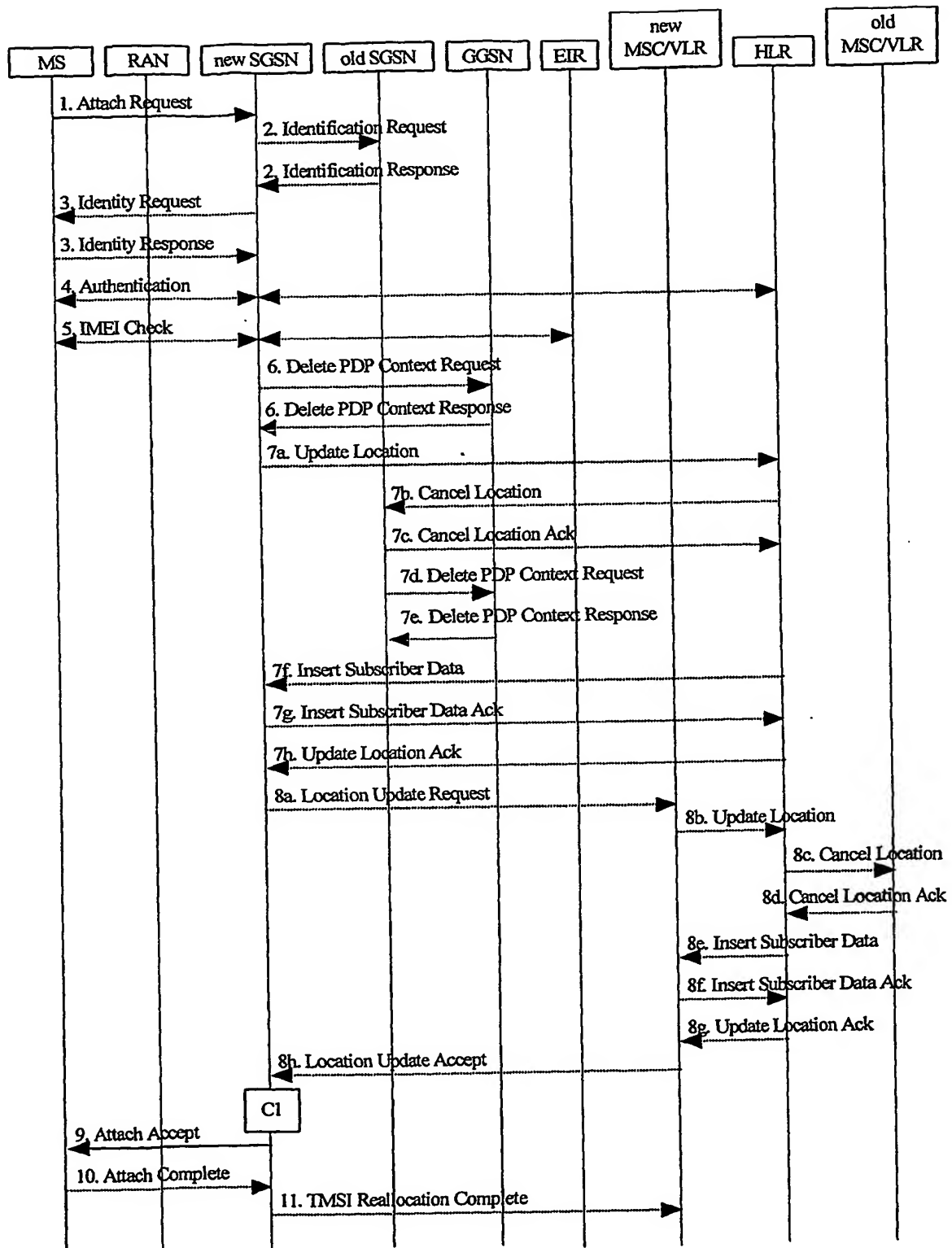


Fig. 3

INTERNATIONAL SEARCH REPORT

PCT/IB 02841

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 H04L12/26 H04Q7/38 H04L12/56

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 H04L H04Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category * | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|------------|---|-----------------------|
| A | US 2002/078384 A1 (HIPPELAINEN LASSI) 20 June 2002 (2002-06-20) the whole document | 1-13 |
| A | US 2002/049913 A1 (JOKINEN HANNU ET AL) 25 April 2002 (2002-04-25) the whole document | 1-13 |
| | -/-- | |

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

* Special categories of cited documents :

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- *&* document member of the same patent family

Date of the actual completion of the international search

6 February 2003

Date of mailing of the international search report

27/02/2003

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
 NL - 2280 HV Rijswijk
 Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
 Fax: (+31-70) 340-3016

Authorized officer

Jimenez Hernandez, P

PCT/IB00/02841

PCT/IB00/02841

Category °

Citation of document, with indication, where appropriate, of the relevant passages

| Relevant to claim No. |
|-----------------------|
| 1 |
| 2 |
| 3 |
| 4 |
| 5 |
| 6 |
| 7 |
| 8 |
| 9 |
| 10 |
| 11 |
| 12 |
| 13 |
| 14 |
| 15 |
| 16 |
| 17 |
| 18 |
| 19 |
| 20 |
| 21 |
| 22 |
| 23 |
| 24 |
| 25 |
| 26 |
| 27 |
| 28 |
| 29 |
| 30 |
| 31 |
| 32 |
| 33 |
| 34 |
| 35 |
| 36 |
| 37 |
| 38 |
| 39 |
| 40 |
| 41 |
| 42 |
| 43 |
| 44 |
| 45 |
| 46 |
| 47 |
| 48 |
| 49 |
| 50 |
| 51 |
| 52 |
| 53 |
| 54 |
| 55 |
| 56 |
| 57 |
| 58 |
| 59 |
| 60 |
| 61 |
| 62 |
| 63 |
| 64 |
| 65 |
| 66 |
| 67 |
| 68 |
| 69 |
| 70 |
| 71 |
| 72 |
| 73 |
| 74 |
| 75 |
| 76 |
| 77 |
| 78 |
| 79 |
| 80 |
| 81 |
| 82 |
| 83 |
| 84 |
| 85 |
| 86 |
| 87 |
| 88 |
| 89 |
| 90 |
| 91 |
| 92 |
| 93 |
| 94 |
| 95 |
| 96 |
| 97 |
| 98 |
| 99 |
| 100 |

A

"ETSI TS 133 107 V5.3.0 UMTS Lawful
Interception Architecture and Functions
(3GPP TS 33.107 v5.3.0 Release 5)"
'Online!
June 2002 (2002-06) XP002230228
Retrieved from the Internet: <URL:
[http://webapp.etsi.org/action\PU/20020625/
ts_133107v050300p.pdf](http://webapp.etsi.org/action\PU/20020625/ts_133107v050300p.pdf)>
'retrieved on 2003-02-06!
cited in the application
page 1 -page 68

1-13

INTERNATIONAL SEARCH REPORT

PCT/IB 02841

| Patent document cited in search report | | Publication date | Patent family member(s) | | Publication date |
|---|----|---------------------|----------------------------|--------------|---------------------|
| US 2002078384 | A1 | 20-06-2002 | WO | 0042742 A1 | 20-07-2000 |
| | | | AU | 2617399 A | 01-08-2000 |
| | | | EP | 1142218 A1 | 10-10-2001 |
| | | | JP | 2002535883 T | 22-10-2002 |
| <hr/> | | | | | |
| US 2002049913 | A1 | 25-04-2002 | WO | 0056029 A1 | 21-09-2000 |
| | | | AU | 3517899 A | 04-10-2000 |
| | | | EP | 1159817 A1 | 05-12-2001 |
| | | | JP | 2002539716 T | 19-11-2002 |